

Docket No. SF/0014.01

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c)) - SMALL BUSINESS CONCERN

Applicant or Patentee: Sethuraman Suresh et al.

Serial or Patent No.: _____

Filed or Issued: _____

Title: System and Methods for Synchronizing Information Among Disparate Datasets

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN Starfish Software, Inc.ADDRESS OF SMALL BUSINESS CONCERN 1700 Green Hills Road, Scotts Valley, CA 95066

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled System and Methods for Synchronizing Information Among Disparate Datasets by inventor(s) Sethuraman Suresh of Santa Cruz, CA, Philippe R. Kahn of Scotts Valley, CA, and John S. Baumgarten of San Jose, CA

described in:

- ☒ the specification filed herewith
☐ application Serial No. _____, filed _____
☐ Patent No. _____, issued _____

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights in the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern that would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____

ADDRESS _____

☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John HansenTITLE OF PERSON IF OTHER THAN OWNER Vice PresidentADDRESS OF PERSON SIGNING 1700 Green Hills Road, Scotts Valley, CA 95066

SIGNATURE _____

DATE September 4, 1997

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DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I declare that:

My residence, post office address and citizenship are, as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **System and Methods for Synchronizing Information Among Disparate Datasets**, the specification of which [X] is attached hereto or [] was filed on _____ as Application Serial No. _____ and was amended on _____ (if applicable).

I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application Number	Date of Filing	Priority Claimed Under 35 USC 119
			Yes No
			Yes No

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application Serial No.	Date of Filing	Status
60/043,593	4/15/97	Pending

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office in connection therewith.

John A. Smart (Reg. No. 34,929); David N. Slone (Reg. No. 28,572); and Vernon A. Norviel (Reg. No. 32,483).

<u>Send Correspondence to:</u>	<u>Direct Telephone Calls to:</u>
John A. Smart 708 Blossom Hill Rd., Suite 201 Los Gatos, CA 95032	John A. Smart Reg. No. 34,929 (415) 943-8669

List of Inventors:

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Full Name of Inventor #4	Last Name	First Name	Middle Name/Initial	
Residence & Citizenship	City	State/Foreign Cntry.	Citizenship	
Post Office Address	P.O. Address	City	State/Ctry	ZipCode
Full Name of Inventor #5	Last Name	First Name	Middle Name/Initial	
Residence & Citizenship	City	State/Foreign Cntry.	Citizenship	
Post Office Address	P.O. Address	City	State/Ctry	ZipCode
Full Name of Inventor #6	Last Name	First Name	Middle Name/Initial	
Residence & Citizenship	City	State/Foreign Cntry.	Citizenship	
Post Office Address	P.O. Address	City	State/Ctry	ZipCode

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature - Inventor #1	Signature - Inventor #2	Signature - Inventor #3
Date	Date	Date
Signature - Inventor #4	Signature - Inventor #5	Signature - Inventor #6
Date	Date	Date

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